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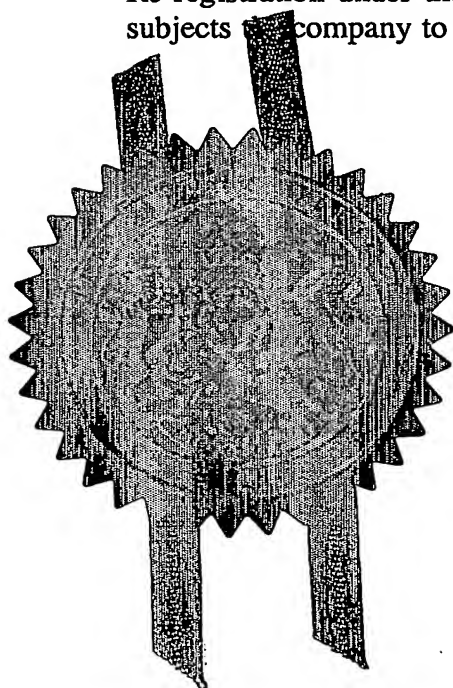
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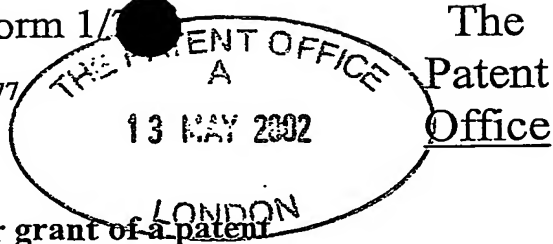
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F01/7700 0.00-0210933.8

## Request for grant of a patent

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|    |  |   |   |   |
|----|--|---|---|---|
| 1. | Your reference   | MPC/8180 GB   |   |   |
| 2. | Patent application number<br>(The Patent Office will fill in this part)  | 0210933.8   |   | 13 MAY 2002                                 |
| 3. | Full name, address and postcode of the<br>or of each applicant ( <i>underline all surnames</i> )   | BlazePhotonics Limited<br>Finance Office, University of Bath<br>The Avenue, Claverton Down<br>Bath<br>BA2 7AY<br>United Kingdom |   |   |
|    | Patents ADP number ( <i>if you know it</i> )   | 8141129001  |   |   |
|    | If the applicant is a corporate body,<br>give the country/state of its<br>incorporation  | United Kingdom  |   |   |
| 4. | Title of the invention   | A dispersion-compensating optical fibre   |   |   |
| 5. | Name of your agent ( <i>if you have one</i> )  | Abel & Imray  |   |   |
|    | "Address for service" in the United<br>Kingdom to which all correspondence<br>should be sent ( <i>including the postcode</i> )   | 20 Red Lion Street<br>London<br>WC1R 4PQ  |   |   |
|    | Patents ADP number ( <i>if you know it</i> )   | 174001 ✓  |   |   |
| 6. | If you are declaring priority from one<br>or more earlier patent applications, give<br>the country and the date of filing of the<br>or of each of these earlier applications<br>and ( <i>if you know it</i> ) the or each<br>application number  | Country   | Priority application<br>number<br>( <i>if you know it</i> ) | Date of filing<br>( <i>day/month/year</i> ) |
| 7. | If this application is divided or<br>otherwise derived from an earlier UK<br>application, give the number and the<br>filing date of the earlier application  | Number of earlier<br>application  | Date of filing<br>( <i>day/month/year</i> )                 |   |
| 8. | Is a statement of inventorship and of<br>right to grant of a patent required in<br>support of this request? ( <i>Answer 'Yes' if:</i><br><i>a) any applicant named in part 3 is not an</i><br><i>inventor, or</i><br><i>b) there is an inventor who is not named as an</i><br><i>applicant, or</i><br><i>c) any named applicant is a corporate body.</i><br><i>See note (d))</i> | Yes   |   |   |

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Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

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11. I/We request the grant of a patent on the basis of this application.

Signature

Date

Abel - Imray 13 May 2002

Abel & Imray

13 May 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

M.P. Critten

(01225) 469914

A dispersion-compensating optical fibre

5 This invention relates to the field of dispersion-compensating optical fibres.

Optical fibres are important components of several technologies, in particular telecommunications technology. Optical fibres are usually made entirely from solid materials such as glass, and each fibre usually has the same cross-sectional structure along its length. Transparent material in one part (usually the middle) of the cross-section has a higher refractive index than material in the rest of the cross-section and forms an optical core within which light is guided by total internal reflection. We refer to such a fibre as a conventional fibre or a standard fibre.

Most standard fibres are made from fused silica glass, incorporating a controlled concentration of dopant, and have a circular outer boundary that is typically of diameter 125 microns.

20 Standard optical fibres operating in the low loss window around 1550 nm exhibit chromatic dispersion, which is generally undesirable. Chromatic dispersion causes different frequency components of a light pulse to travel at different speeds in the fibre. In a standard fibre around 1550 nm, shorter wavelengths travel faster than longer wavelengths; that phenomenon is referred to as anomalous dispersion. When longer wavelengths travel more quickly than shorter wavelengths that is known as normal dispersion. Chromatic dispersion causes pulses to spread in time and, over the long distances involved in many telecommunications applications, that can lead to pulse break up or to successive pulses in a data stream interfering with each other.

Dispersion in telecomms links is usually compensated in a long-distance link by periodically inserting Dispersion Compensation Modules (DCMs) at intervals along the link. DCMs usually comprise a relatively short length of optical

fibre (known as Dispersion Compensating Fibre - DCF) that exhibits dispersion of the opposite sign to the principal fibre in the link (i.e. for a standard fibre link in the 1550 nm window, the DCF exhibits normal dispersion). The DCF is arranged to provide just enough dispersion to cancel the dispersion of the principal fibre in the link, so that the net dispersion experienced by a pulse that propagates along the link is approximately zero.

DCF is typically approximately cylindrically symmetric fibre that has a so-called 'W-profile' in its refractive index; that is to say that it comprises a high-index core surrounded by an inner cladding region having a lower refractive index, which in turn is surrounded by an outer cladding region having an intermediate refractive index.

A major problem in prior-art DCF is polarisation mode Dispersion (PMD). PMD is caused by wavelength-dependent coupling between polarisation states along the fibre length, which is caused by minor deviations from perfect cylindrical symmetry in the compensating fibre. The perturbations result in two polarisation channels in the fibre, each with a different time delay. Thus a signal launched into the DCF will be broadened during propagation. Moreover, the delay between the two polarisation states changes strongly with wavelength. PMD is highly undesirable.

The usual prior-art method of reducing PMD in DCF is to spin the fibre during drawing. Spinning homogenises the fibre to provide cylindrical symmetry and thus reduces PMD to acceptable levels (of the order of ps over the bandwidth).

Recently a new type of optical fibre has been developed known as a photonic crystal fibre (PCF), also known as a microstructured fibre or a holey fibre.

PCFs are fibres having a cladding region that comprises a plurality of elongate regions, running parallel to the longitudinal axis of the fibre, that are of a different refractive index from a matrix region in which they are embedded. The elongate regions are, in many cases, air-filled

holes, although they are in some cases solid regions or regions filled with a liquid or another gas.

The core of a PCF is a region having a different structure from the cladding region; it is often a region having no holes or a region having one or more extra holes.

Light is confined to the core of a PCF by the cladding through the action of one of two mechanisms. The first is closely related to the guidance mechanism of a standard fibre. In this mechanism, the matrix regions and the elongate regions of the cladding have an 'effective' refractive index that is less than the refractive index of the core region, so that total internal reflection occurs and traps light in the core. (The 'effective' refractive index of the cladding region can readily be calculated by a person skilled in the art. One method involves calculating the effective refractive index of an infinite plane tiled with the pattern of elongate holes in the cladding region (see, T.A. Birks et al., Electron. Lett. Vol. 31 No. 22, pp 1941-1942 (1995) ). In general, the effective refractive index will be between that of the elongate regions and that of the matrix regions.)

In the second mechanism, the arrangement of elongate regions in the cladding is periodic such that they form a photonic band gap. (This phenomenon is analogous to the formation of electronic band gaps in semiconductors.)

Interference between light reflected from the elongate regions is such that there are certain bands of frequencies that cannot escape into the cladding. The core of a PCF that guides by this mechanism forms a 'defect' in the periodic structure of the cladding; light can propagate in this defect region. Light is thus confined to and propagates in the core of the PCF.

An object of the invention is to provide a DCF that avoids or ameliorates undesirable PMD effects.

According to the invention there is provided an optical fibre, comprising a core region and a cladding region,

characterised in that the fibre exhibits a selected amount of dispersion and in that it is also birefringent.

It is known in the prior art to control PMD in standard fibres by deliberately breaking the fibre's cylindrical symmetry to make it birefringent in a fixed and predictable way (rather than being a random effect). Deliberately introducing strong birefringence renders the two polarisation channels deterministically separate and relatively wavelength independent. However, some form of correction is required to remove the huge differential group delays that would otherwise develop between polarisation channels. (Differential Group Delay - DGD is the term we use for deterministic PMD that does not vary strongly with wavelength.)

To our knowledge it has never been suggested that features can be introduced into a DCF to make it highly birefringent. Indeed, prior art fibres, as discussed above, teach away from such an arrangement because they are spun to reduce birefringence. Thus prior-art teachings are of highly cylindrically symmetric DCF.

Preferably, the fibre has, in its transverse cross-section, a rotational symmetry of two-fold. Of course, the fibre may include structures that break strict two-fold symmetry but that do not affect propagating light sufficiently strongly for the fibre no longer to be polarisation-maintaining.

The birefringence may be form birefringence (that is, it may result from directly the arrangement of elements of the fibre) or stress birefringence (that is, it may result from internal stresses arising from the structure of the fibre).

For example, stress birefringence may be provided by the fibre regions comprising a different material from the material making up the majority of the fibre; for example, rods of a different glass may be included in a glass cladding region.

Preferably, the cladding region comprises a first cladding region, surrounding and adjacent to the core region

and a second cladding region, surrounding and adjacent to the first cladding region, the first cladding region having a refractive index that is lower than the refractive index of the second cladding region.

5 Preferably, the first and second cladding regions are concentric rings. The rings may be substantially circular or may have some other symmetry; for example, the rings may be hexagonal or rectangular.

10 Preferably, the fibre comprises a third cladding region having an effective refractive index that is lower than that of the second cladding region. The fibre may comprise still further cladding regions.

15 Structures providing the birefringence may be provided in any suitable part of the fibre cross-section. Preferably, the birefringence results from structures in the inner cladding region. The birefringence may result from structures in the second cladding region.

20 The core region may contain elongate regions; i.e., it may be microstructured. The birefringence may result from a variation, having two-fold rotational symmetry, in that microstructure.

25 Preferably, the cladding region comprises a plurality of elongate structures having a first refractive index embedded in a matrix material having a second, different refractive index.

30 The second cladding region may include a plurality of the elongate elements. Alternatively, the second cladding region may be of a uniform refractive index. When a region is of a uniform refractive index, its effective refractive index is, of course, equal to that uniform index.

35 The first refractive index may be lower than the second refractive index. For example, the elongate regions may be elongate holes embedded in a solid material of the second refractive index. (The first and second refractive indices are of course material, as opposed to effective, refractive indices.)



The effective refractive index of the part of the cladding region surrounding and adjacent to the core region may be lower than the effective refractive index of the core region. The fibre may then guide by total internal reflection. Such an arrangement may provide a structure analogous to a 'W-profile' standard fibre for dispersion compensation.

Alternatively, the effective refractive index of the part of the cladding region surrounding and adjacent to the core region may be higher than the effective refractive index of the core region. The fibre will then guide by a photonic band-gap effect. Preferably, the core region is an elongate hole. Preferably, light is substantially confined to the elongate hole of the core region.

The different effective refractive indices of each of the regions may result from any suitable mechanism or combination of mechanisms; for example, if a region comprises elongate elements having a lower refractive index than the matrix material, a region having a higher effective refractive index may be provided by the elongate elements having a smaller cross-sectional area and/or a larger pitch (nearest neighbour spacing) in that region. Different materials or different dopants may be used in the elongate elements or in the matrix material in different regions.

Preferably, the fibre is a photonic crystal fibre.

Several examples of birefringent PCFs that exhibit DGD are described in International Patent Application No. PCT/GB00/00600 (The University of Bath), the contents of which Application are hereby incorporated herein by reference. For example, birefringence may be achieved by providing a variation in the cross-sectional area or the shape of the elongate elements, or in the material of, or the concentration of a dopant in, the elongate elements or the matrix material, or by any combination of those or other mechanisms. Any suitable dopant may be used, for example Germanium, Phosphor, Aluminium or Tin.

If light is linearly polarised in a direction parallel to one of the optic axes of a birefringent fibre then the light will maintain its polarisation. If it is linearly polarised at some other angle, the polarisation will change, as the light propagates down the fibre, from linear to elliptical to linear (not parallel to the starting polarisation) to elliptical and back to linear again, with a period known as the beat length,  $L_B$ , where  $L_B = \frac{2\pi}{|\beta_x - \beta_y|}$  and  $\beta_x$  and  $\beta_y$  are the

propagation constants of the orthogonal modes. That variation is a consequence of a phase difference between two orthogonal components of the mode, which results from the difference in their propagation constants. The shorter the beat length, the more resilient is the fibre to polarisation-scrambling effects.

In general, stronger birefringence is preferable over weaker birefringence. The degree to which a dispersion compensating microstructured fibre needs to be birefringent in order to overcome PMD is dictated by fluctuations in birefringence along the fibre. A typical microstructured fibre has structural birefringence fluctuations of about 1%. To prevent polarisation cross-coupling induced by the fluctuations, the fibre typically needs a beat length of the order of about a millimetre. Thus, the beat length is preferably less than 1cm, more preferably less than 5mm, more preferably less than 2mm, still more preferably less than 1mm and still more preferably less than 0.5mm. The beat length required is dictated by both the rms magnitude of birefringence fluctuations and the lengths for which they persist (characterised by a power spectrum). (Of course, a particular fibre may not guide light at a wavelength of 1.5 microns; in that case, the beat length at a guided wavelength may readily be scaled up or down to an equivalent beat length at 1.5 microns).

Advantageously, the fibre includes a structure at or near its mid-point that is arranged to interchange the polarisation

axes of the fibre relative to the polarisation direction of light propagating in the fibre, or vice versa. The structure may be a twist of  $90^\circ$  or an integer multiple of  $90^\circ$ . Such a twist may be provided, for example, by heating and twisting the fibre during the draw or after manufacture, or by cleaving the fibre, rotating the cleaved parts and splicing them back together again. Alternatively, the structure may be a region in which the cross-sectional areas of holes in the structure change to rotate the cross-sectional arrangement of the fibre by ninety degrees about the core. Such arrangements would interchange the fast and slow polarisation axes of the fibre. Such an arrangement may be provided for example by varying pressure in holes in the fibre during the draw, so that large holes become small and vice versa in a change in pattern that provides a  $90$  degree rotation of the axes.

A successful dispersion compensating fibre must have high dispersion and the correct dispersion slope. We assume that the fibre link to be compensated for is  $L_0$  km long and that its dispersion (ps/nm) is given by:

$$d_0(\lambda) = L_0 D_0 [1 + RDS_0(\lambda - \lambda_0)]$$

where  $D_0$  is the 'bulk' dispersion in ps/nm.km at  $\lambda = \lambda_0$  and  $RDS_0$  the relative dispersion slope in  $\text{nm}^{-1}$ .

An ideal compensating fibre will be  $L$  km in length and have much higher bulk dispersion  $D$  (opposite in sign to  $D_0$ ) and the same magnitude of  $RDS$  but opposite in sign. The module properties must satisfy the relationship:

$$d_0(\lambda) = -LD[1 + RDS(\lambda - \lambda_0)]$$

and an ideal module will have a very large value of  $D$  and hence small  $L$ .

Our solution to this problem is to rotate the axis of the PM-DC fibre, or the polarisation of propagating light in the

middle of the fibre so as to exchange the signals travelling in orthogonal polarisation states. This means that the DGD will unwind itself in travelling to the output end of the twisted DC-PM fibre, yielding zero DGD and PMD.

- 5 Taking the dispersion and  $RDS$  for each polarisation channel to be  $D_1$  and  $D_2$  and  $RDS_1$  and  $RDS_2$ , the equation that must be satisfied for the module to work is:

$$d_0(\lambda) = -(L/2)[D_1(1+RDS_1)(\lambda-\lambda_0) + D_2(1+RDS_2)(\lambda-\lambda_0)]$$

- 10 where  $L$  is the total DCF length. Provided the twist is placed precisely in the middle, the DGD will be exactly zero.

The structure may be a plurality of small twists (of, say, less than ten degrees), with each twist rotating in a sense opposite to that of its immediate neighbours, such that  
15 the twists form a rocking filter. In such an arrangement, the twists preferably reverse their sense on a length scale of the order of the beat length of the fibre.

Alternatively, the fibre may include a plurality of structures arranged to interchange the polarisation axes of  
20 the fibre relative to the polarisation direction of light propagating in the fibre, or vice versa. Thus, rather than having one such structure at the centre of the fibre (dividing the fibre into two),  $2N-1$  such structures ( $N>1$ ) may be provided, dividing the fibre into  $2N$  lengths. As each length  
25 will be shorter than in the  $N=1$  case, there is less risk that local differences between lengths will cause imperfect cancellation of DGD; i.e. a higher accuracy of cancellation will be possible.

30 Preferably, the fibre exhibits normal dispersion at 1.55 microns. Alternatively, the fibre exhibits anomalous dispersion at 1.55 microns.

Also according to the invention there is provided a method of controlling chromatic dispersion and polarisation-dependent dispersion of a light pulse, comprising propagating

the pulse through a fibre as described above as being according to the invention.

Preferably, the method includes the step of heating and/or straining a portion of the fibre. Heat and/or strain may be used to fine-tune the DGD properties of one half of the fibre in order to improve DGD cancellation over the fibre length. Preferably, the method includes the step of altering the temperature and/or strain according to feedback from a monitor monitoring the pulse. Preferably, the elongate structures are circular in transverse cross-section. Alternatively, the elongate structures may be arcuate in transverse cross-section. Preferably, at least one of the arcuate structures subtends an angle of 30 degrees or more, or more preferably 60 degrees or more, about the centre of the core region.

Also according to the invention there is provided a dispersion compensator comprising a fibre as described above as being according to the invention.

Also according to the invention there is provided an optical device comprising a fibre as described above as being according to the invention. Preferably, the device further comprises a quarter-wave plate and mirror arranged to rotate by  $90^\circ$  or an integer multiple of  $90^\circ$  the polarisation of light exiting the fibre and to return the light to the fibre.

Also according to the invention there is provided an optical device comprising a beam-splitter, for splitting light into two orthogonal polarisation components, and a polarisation-maintaining optical fibre; the device being arranged such that the two polarisation components propagate along the same path in the fibre but in opposite directions and such that the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre. Preferably, the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre

because the fibre is twisted by  $90^\circ$  or an integer multiple of  $90^\circ$ .

Alternatively, the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre because a wave-plate is arranged to rotate the polarisation of the polarisation components. Any other suitable arrangement may be used.

Preferably, the device further comprises a circulator for coupling light from a single-mode fibre into the beam-splitter.

Alternatively, the device comprises a plurality of polarisation-rotators, arranged to rotate each polarisation component such that a component that is reflected by the splitter into the fibre is, after propagation through the fibre, output from the splitter by reflection and a component that is transmitted by the splitter into the fibre is, after propagation through the fibre, output from the splitter by transmission.

Also according to the invention there is provided a method of compensating chromatic dispersion in an optical system, comprising propagating light from the optical system through a fibre or a device as described above as being according to the invention.

Also according to the invention there is provided an optical system comprising a first, chromatically dispersive, optical fibre and a second, optical fibre, that is according to the invention, the first fibre being longer than the second fibre and the second fibre exhibiting a chromatic dispersion that compensates for the chromatic dispersion of the first optical fibre.

An embodiment of the invention will now be described, by way of example only, with reference to the drawings, of which:

Fig. 1 is a transverse cross-sectional view of a first preform for making a fibre according to the invention;

Fig. 2 is a transverse cross-sectional view of a first fibre according to the invention;

Fig. 3 is a transverse cross-sectional view of a second fibre according to the invention;

5 Fig. 4 is a transverse cross-sectional view of a third fibre according to the invention;

Fig. 5 is a transverse cross-sectional view of a second preform for making a fibre according to the invention;

10 Fig. 6 is a transverse cross-sectional view of a third preform for making a fibre according to the invention;

Fig. 7 is a transverse cross-sectional view of a fourth fibre according to the invention;

Fig. 8 is a schematic showing a fifth fibre according to the invention;

15 Fig. 9 is a longitudinal cross-sectional view of two forms of a detail of the fibre of Fig. 8;

Fig. 10 is a schematic showing the polarisation properties of a sixth fibre according to the invention.

20 Fig. 11 is a seventh fibre structure according to the invention, which has been numerically modelled to produce Figs. 12 to 14.

Fig. 12 is a plot of the variation of dispersion with wavelength for the two polarisation modes of the fibre of Fig. 11.

25 Fig. 13 is a plot of the variation of DGD with wavelength for the fibre of Fig. 11.

Fig. 14 is a plot of the variation of beat length with wavelength for the fibre of Fig. 11.

30 Figs. 15 to 18 show device configurations incorporating a polarisation-maintaining (birefringent) microstructured fibre.

A way of manufacturing fibres according to the invention is to use a preform such as that shown in Fig. 1. The preform comprises a bundle 10 of silica rods 40 and silica tubes 30. The rods 40 and tubes 30 each have an external diameter of  
35 about 1mm and they are arranged on a triangular lattice to form concentric hexagonal rings; thus rings of rods and rings

of tubes alternate as one moves outward from the centre of the fibre. The bundle is held together in a large silica jacketing tube 50. At the centre of the fibre is a core region 20, formed by omitting seven rods/tubes from the centre of the bundle. The rods 40 and tubes 20 are fused together to form the preform 10; they are held in place around core region 20 during the fusion by using seven short rods (not shown) at each end of the bundle 10, arranged at the sites corresponding to the missing rods/tubes of Fig. 1.

Preform 10 is drawn into a fibre, having a diameter of about 70 microns, by heating and drawing on a standard fibre drawing rig in substantially the same manner as standard fibres are drawn from a preform.

In each of the embodiments discussed below, holes of different cross-sectional area are provided in a single fibre. The different cross-sectional areas can be provided in any suitable way using preform 10. For example, one approach is to provide larger and smaller holes by using tubes 30 all having the same external diameter but larger and smaller internal diameters, respectively.

Another approach is to provide tubes 30 and/or rods 40 having different external and internal diameters. Interstitial holes, caused in such an arrangement by imperfect tiling of the rods 40 and tubes 30 in the cross-section of the fibre, are eliminated by connecting the preform 10 to a pressure pump during drawing and evacuating air from the between the rods 40 and tubes 30, whilst maintaining a higher pressure within tubes 30, for example by sealing the ends of the tubes 30 so that they are not evacuated.

Another approach is to increase or decrease the pressure inside particular ones of tubes 30, according to whether an increase or a decrease, respectively, in hole size is required to produce a particular pattern. So, for example, during drawing, holes that are required to be enlarged significantly are connected to a source of positive pressure, holes that are required to be reduced are left open to the atmosphere and



holes that are required to take an intermediate size are sealed at their ends (of course, all of the holes are, in fact, very much reduced in cross-sectional area when the fibre is drawn, usually by a factor of several thousands.

5 References to enlarging holes and reducing holes and the like of course refer to relative changes on hole size, compared with the uniform hole size of tubes 30 in preform 10. Holes in the drawn fibre fill the cladding region to a filling fraction (air:silica) of about 40% and have a pitch (nearest-  
10 neighbour spacing) of about 5 microns and a diameter of the order of a few microns (typically between about 1 micron and about 15 microns, although the exact value of course varies between larger and smaller holes and in different embodiments).

15 Fibre 100 (Fig. 2) comprises hollow core 120 (resulting from hole 20 in preform 10), five concentric, hexagonal rings of holes 130, 160, 170 embedded in a silica matrix 140 (resulting from rods 40 and tubes 30) and a jacketing region 150 (resulting from jacketing tube 50).

20 Holes 160, forming a first cladding region in the form of the innermost ring, adjacent to core 120, are relatively large. Holes 170, forming a second cladding region in the form of the next innermost ring, are relatively small. Holes 130, forming further cladding regions in the form of further  
25 rings, are of intermediate size. In terms of effective refractive index, it will readily be understood that the fibre 100 thus comprises an innermost cladding region of lower refractive index (it is mostly air), a second cladding region of higher refractive index (it is mostly silica) and further  
30 cladding regions of intermediate effective refractive index.

Light of particular frequencies is confined to the hollow core 120 of the fibre by band-gap effects resulting from the refractive-index structure of the fibre. This can be understood in terms of light interfering destructively from  
35 the boundaries between each ring of different refractive index and thus light being prevented from propagating in the

cladding, so that, once it is introduced into the fibre, it is confined to core region 120. The physics behind such structures is well-known in the art (see, for example Cregan et al., Science Vol. 285, pp1537-1539 (1999)).

5 Provision of larger holes 160 and smaller holes 170 results in the fibre displaying normal dispersion at wavelengths around 1550 nm.

The second ring of holes 170 out from the centre comprises holes 170 of a diameter chosen to provide  
10 compensation for the dispersion slope of the light that is to propagate in the fibre; thus both dispersion and dispersion slope are compensated, the former by the first ring of holes and the latter by the second ring.

Introduction of two-fold symmetry does not strongly  
15 affect the dispersion of the dispersion slope.

The fibre 100 also comprises regions 180 that are made of silica doped with 10 mol% Germanium, such that the bulk refractive index of regions 180 is raised relative to the rest of the fibre 100 (which is made of undoped silica). Regions  
20 180 are provided by providing Germanium-doped silica rods 40 and tubes 30 at sites in preform 10 corresponding to regions 180 (and undoped silica rods 40 and tubes 30 elsewhere).

Regions 180 are provided on opposite sides of core region 120 and result in fibre 100 having a refractive index profile  
25 that has two-fold rotational symmetry. Fibre 100 is thus birefringent.

In the discussion below of further embodiments of the invention, the same numbers will be used for the same features of the fibres of the embodiments, to avoid unnecessary  
30 repetition of description.

Fibre 200 is another example of a fibre according to the invention (Fig. 3). Fibre 200 is made of pure silica, with no dopants. Birefringence is instead achieved by providing six enlarged holes 260 and six slightly reduced holes 280, in the  
35 first cladding region (in place of uniformly large holes 160 in fibre 100). Holes 260 are arranged in groups of three on

opposite sides of core region 220, as are holes 280; a pattern having two-fold rotational symmetry is thus formed, providing the birefringence, which in this case is form birefringence.

By way of demonstrating that the particular form of guidance that a fibre exhibits is not a significant aspect of the invention in its broadest aspect, in this example, core region 220 of fibre 200 is of solid silica (in contrast to the elongate hole forming core 120 in fibre 100). Fibre 200 thus guides light by total internal reflection at the step in effective refractive index between the solid silica core and the innermost ring of holes 260, 280.

Fibre 300 (Fig. 4) is similar to fibre 100 but, instead of doped regions 180, birefringence is stress birefringence provided by the presence of two elongate regions 380, of a different glass from the rest of the cladding glass, and arranged on opposite sides of the core region 120. Regions 280 are too far away from core 120 to have a significant direct effect on propagating light (in particular, they do not significantly affect the band-gap guidance properties of the fibre but their large size results in stress in the silica of the fibre, resulting in anisotropy in refractive index). Specifically, a refractive index structure having two-fold rotational symmetry is created by the stresses.

Preform 400 (Fig. 5) is a second preform suitable for drawing fibres according to the invention. Preform 400 is similar to preform 10, in that it is a bundle enclosed in a jacketing tube 50, but tubes 30 are in this case packed in a square lattice pattern (rather than a triangular lattice pattern). No rods are required to form the holey cladding region; matrix regions result from the silica outer parts of the tubes 30 forming the cladding holes. Rod 420 forms a core region and rods 40 are provided to pack the gap in the preform between the tubes 30 and the jacketing tube 50.

In an alternative embodiment (not shown) an asymmetric core region is provided in a fibre by substituting two rods 420 (rather than one) for tubes 30 in preform 400.

Preform 600 (Fig. 6) is a third preform suitable for drawing fibres according to the invention. Preform 600 comprises a plurality of concentric tubes 640 that are spaced apart from each other by tubes 630. A hollow core region 620 is provided by the innermost one of tubes 640. Two rods 680 are provided in place of two of tubes 630, on opposite sides of core 620, to break the symmetry of the structure.

Fibre 700 (Fig. 7) is drawn from preform 600. Fibre 700 comprises concentric solid silica tubular regions 740 (resulting from tubes 640), separated by bridging regions 745, which result from tubes 630. Tubes 630 are collapsed by evacuation during the draw, to form bridging regions 745, whereas pressure is maintained in the spaces between tubes 630, so that arcuate holes 730 result in the drawn fibre 700. Bridging regions 780 are larger than regions 745 and result from rods 680. Bridging regions 780 are at sites that provide two-fold circular symmetry in fibre 700.

The invention enables production of a dispersion compensation fibre 800 exhibiting negligible PMD and DGD (Fig. 8). Fibre 800 is anomalously dispersive, because of its refractive index profile, and has a structure that results in birefringence (for example, the structure of any of fibres 200, 300 or 400, described above). However, fibre 800 includes region 810 in which exactly half-way along the fibre's length (at B in Fig. 8 (a)), in which the polarisation axes of fibre 800 are interchanged (compare Figs 8(b) and 8(c), showing the positions of representative large hole 820 and small hole 825 at positions A and C in the length of fibre 800). The effect is illustrated schematically in Fig. 8, in which one of the two polarisation axes of the fibre is shown as a bow-tie shape and the orientation of the polarisation of light propagating in the fibre is shown by a double-headed arrow. At A, the light is polarised along the polarisation axis orthogonal to the axis shown by the bow-tie. At B, the axes of the fibre are rotated such that at C the axis shown by the bow-tie is now parallel to the polarisation of the light.

Fibre 800 exhibits a predictable birefringence in the form of DGD, rather than an unpredictable amount of PMD. The swap in axes at 810 results in propagating light experiencing equal and opposite amounts of DGD in the first and second halves of fibre 800, respectively. Thus the DGD experienced by light in each half of the fibre cancels out.

In use, the temperature of (and/or stress in) one half of the fibre is used to fine-tune the DGD of that half and thus keep the cancellation over the whole fibre perfect, via a feedback arrangement monitoring polarisation properties of light propagating through the fibre. Our experiments provide evidence that temperature changes the DGD of the fibre without altering the dispersion or dispersion slope significantly.

Two possible mechanisms for swapping the polarisation axes of the fibre are shown schematically in Fig. 9 (a) and (b). In the first (Fig. 9 (a), corresponding to Fig. 8(b) and (c)), the fibre is twisted at region 810' by ninety degrees, so that the positions relative to core 110 of large holes such as hole 820 and small holes such as hole 825 are altered (i.e. rotated by ninety degrees about core 110).

In the second mechanism (Fig. 9(b)) there is no rotation about the core 110; rather, the change in position of the large and small holes is achieved by changing the size of holes such as holes 920 and 925 so that, for example, hole 920 changes from small to large at region 810'' and hole 925 changes from large to small (moving from left to right in Fig. 9 (b)). Such a change in hole size may be achieved for example by changing pressure in the holes 920, 925 during drawing. (The hole pattern shown in Fig. 8 (b) and (c) is not consistent with this mechanism; to make it consistent, the reference numerals 820 and 825 should be swapped in respect of Fig. 8(b)).

As an alternative to swapping the polarisation axes of the fibre, the polarisation axes of propagating light may be swapped (Fig 10). A 'rocking filter', comprising a number of small rotations 1010, is provided at the centre of the length

of fibre 1000 (Fig. 10 is again only schematic; in particular, the separation of rotations 1010 will be of the order of centimetres, whereas the length of the fibre 1000 will be of the order of kilometres). At S, before light enters the  
 5 filter, light is polarised orthogonally to one of the polarisation axes (represented by the bow-tie in Fig. 10) of the fibre 1000. At each of regions T to Y the polarisation axes of the fibre are rotated by a few degrees ( $\sim 5$  degree). The separation of the rotations 1010 is equal to half the  
 10 polarisation beat length of the fibre; light is therefore coupled from its original polarisation to the orthogonal polarisation at each rotation 1010 and the coupling is reinforced at each rotation 1010 such that power is gradually transferred entirely to the polarisation parallel to the fibre  
 15 polarisation axis represented by the bow-tie in Fig. 10. As the length of the rocking filter is very much less than the length of the fibre, DGD experienced in the first half of fibre 1000 will again be cancelled by an equal and opposite amount of DGD in the second half of fibre 1000.

20 In general, one can say that the bandwidth of 100% conversion is inversely proportional to the number of periods. Fewer periods means a larger rocking angle is required.

The chromatic dispersion and polarisation dispersion properties of a further example of a fibre structure according  
 25 to the invention (Fig. 11) were modelled using a computer.

The fibre comprises holes arranged on a triangular lattice, with a central hole being omitted from the structure to form a waveguiding core 1105. The pitch of the holes in the cladding region of the fibre is 1.025 microns. The six  
 30 innermost holes, adjacent to and surrounding core 1105, consist of four larger holes 1140, having a diameter-to-pitch ratio of 0.8848 and two smaller holes 1150, having a diameter-to-pitch ratio of 0.8627.

The twelve next-innermost holes, adjacent to and  
 35 surrounding holes 1140, 1150 include two small holes 1130, having a diameter-to-pitch ratio of 0.4861. The remaining ten

holes of those twelve (such as hole 1120) have a diameter-to-pitch ratio of 0.6481.

The remaining holes (such as hole 1110) in the structure are of a uniform size, having a diameter-to-pitch ratio of 0.741.

The beat length of the fibre is primarily determined from the symmetry breaking in the first ring of holes 1140, 1150. It is notable that only a very small difference in hole size is required to produce significant DGD. The second ring of holes 1120, 1130 has a relatively weak effect on the beat length (and the difference in size between holes 1120 and 1130 can therefore be much larger) but can be used to partially compensate the DGD incurred from the symmetry breaking in the first ring.

The dispersions  $D$  experienced by the two polarisation modes of the structure of Fig. 11 are very similar. The variation of  $D$  with wavelength is shown for wavelengths between 1.5 microns and 1.6 microns by lines 1210 and 1220 in Fig. 12. For both polarisations,  $D$  decreases monotonically from approximately  $-1000 \text{ ps nm}^{-1} \text{ km}^{-1}$  at 1.5 microns to approximately  $-1600 \text{ ps nm}^{-1} \text{ km}^{-1}$  at 1.6 microns. At 1.55 microns, both modes experience a dispersion of about  $-1360 \text{ nm}^{-1} \text{ km}^{-1}$ , with the difference between the modes being about  $20 \text{ nm}^{-1} \text{ km}^{-1}$ . Thus, both modes have approximately the correct dispersion properties to compensate standard SMF -28 fibre.

The DGD between the modes (line 1310 in Fig. 13) decreases monotonically from about  $-2600 \text{ ps km}^{-1}$  at 1.5 microns to  $-4100 \text{ ps km}^{-1}$  at 1.6 microns. The beat length of the fibre of Fig. 11 is very short compared with standard Hi-bi fibre. It decreases monotonically (line 1410 in Fig. 14) from about 1.228 mm at 1.5 microns to about 1.166 mm at 1.6 microns. Such a short beat length causes DGD to be more significant than PMD, allowing DGD compensation schemes such as those shown in Figs. 8 to 10 to significantly improve the polarisation properties of the fibre.

Four examples of alternative device configurations are shown in Figs. 15 to 18. In each example, polarisation-maintaining microstructured fibre 1500 is also a DCF, although the device configurations would also be suitable for fibres which do not provide dispersion-compensation.

In the configuration of Fig. 15, two identical polarisation-maintaining microstructured fibres 1500 are spliced together, with the polarisation axes of one of the fibres being rotated by ninety degrees relative to those of the other fibre. The polarisation of light propagating in the fibre is therefore abruptly rotated by ninety degrees relative to the polarisation axes of the fibre at splice 1530. (Such an arrangement is another alternative to the ways of swapping polarisation axes described with reference to Fig. 9 (a) and (b).)

Fibres 1500 are arranged in-line with standard single-mode fibre (SMF) 1510. A region of fibre 1500 is provided along the length of which holes in fibre 1500 are gradually collapsed to provide an adiabatic tapered transition to a length of standard single mode fibre (having a doped core and/or cladding region). Such a configuration provides an elegant device that is realised entirely in optical fibres and provides bi-directional operation. Of course, any suitable means, such as a Grin lens assembly, may be used to couple light between fibres 1500 and 1510, as an alternative to the all-fibre solution.

In the configuration of Fig. 16, a single length of birefringent fibre 1500 is used, in conjunction with a circulator 1610 and a Principal State of Polarisation (PSP) exchange assembly 1600. Light passes along standard SMF and into the first port of circulator 1610. It exits at the second port where it is coupled, by Grin lens 1620, to fibre 1500, in which it propagates to PSP exchange assembly 1600.

Assembly 1600 comprises a second Grin lens 1620, which couples light from the fibre 1500 to a quarter-wave plate 1640. Light passes through quarter-wave plate 1640, reflects



from mirror 1630 and passes back through quarter-wave plate 1640, Grin lens 1620 and quarter-wave plate 1640. The double pass through quarter-wave plate 1640 rotates the polarisation of the propagating light by ninety degrees so that on its return trip through fibre 1500, DGD experienced on the outgoing trip is cancelled. The light is coupled back into circulator 1610 by Grin lens 1620 and exits through the third port of the circulator 1610 to continue propagating along SMF 1510.

This configuration provides several advantages over the configuration of Fig. 15. There is no need for matching or trimming of the birefringent fibre because the initial propagation in the first polarisation and the compensating propagation in the rotated polarisation both take place in the same fibre 1500. Splice 1530 (which may cause time-delayed echoes if it is imperfect) is eliminated in this configuration. Only half of the length of the fibre 1500 is needed (as a single length is used twice). Back-reflections into SMF 1510 are suppressed by the use of circulator 1610.

However, disadvantages compared with the all-fibre solution are that the configuration of Fig. 16 is unidirectional, utilises bulk optical components requiring micro-assembly, utilises circulator 1610 (circulators are usually relatively costly and lossy), depends critically on the quarter-wave plate (the behaviour of which is of course itself wavelength-dependent), involves a large number of interfaces (and hence potentially a large loss) and allows backscatter to be fed forwards into SMF 1510.

A third configuration is shown in Fig. 17. This configuration also utilises circulator 1610 but is a 'ring' arrangement rather than a reflective arrangement. Light passing from SMF 1510 is coupled out of circulator 1610 into polarisation beam-splitter 1710. Beam-splitter 1710 splits the light into two orthogonal polarisation components, which are coupled by Grin lens 1620 into opposite ends of birefringent microstructured fibre 1500.

Fibre 1500 includes a slow twist 1700, such that its PSP axes are rotated by ninety degrees. Consequently, both polarisation components produced by the beam-splitter 1710 are coupled along the same PSP axis (e.g. the fast axis of fibre 1500). Therefore, in principle at least, no DGD can arise as both polarisations entering the splitter 1710 see the same optical path length in fibre 1500. Any light coupled into the undesired polarisation mode of the system (the undesired PSP) is effectively suppressed by the beam-splitter 1710. Light of, say, horizontal polarisation is transmitted through the beam-splitter 1710 and is gradually rotated by the twist 1700 in fibre 1500 to be vertically polarised when it returns to splitter 1710 at the other end of fibre 1500. The light re-enters the splitter 1710 through a different port from that which it left by and as it is now vertically polarised, it is reflected in the splitter 1710 and returned to circulator 1610.

Conversely, light of vertical polarisation is reflected in its first pass through the beam-splitter 1710, so that it passes out through the port by which the initially-horizontally-polarised light enters. The vertically polarised light is rotated by twist 1700 to be horizontally polarised light when it return to splitter 1710. The light is then transmitted through splitter 1710 and returns to the circulator 1610.

This configuration suppresses back-reflections into fibre 1500. In contrast with the configuration of Fig. 16, no quarter-wave plate or other potentially wavelength-dependent device is required.

A fourth possible configuration is shown in Fig. 18. This configuration is similar to that of Fig. 17, but the circulator has been eliminated. Rather all four ports of beam-splitter 1710 are utilised in conjunction with two Faraday rotators 1800 (In contrast, the configuration of Fig. 17 utilises only three ports of the beam-splitter, with light of the undesired polarisation dumped out of the fourth port).

Light is coupled into the first port of splitter 1710 by a Grin lens 1620. Again, each of the two polarisation components emerging from the beam-splitter passes along the same PSP axis in fibre 1500, albeit propagating in opposite  
 5 directions. Faraday rotators 1800 are arranged such that the net rotation seen by each polarisation component brings each component back to its original orientation. Thus, light of, say, vertical polarisation is reflected out of the second port of splitter 1710 and returns, after propagation through  
 10 rotators 1800 and fibre 1500, back into the third port of splitter 1710 vertically polarised. It is therefore reflected by splitter 1710 out of the fourth port and into the downstream portion of fibre 1500. Conversely, horizontally polarised light is transmitted from the first to third ports  
 15 of splitter 1710, propagates through rotators 1800 and fibre 1500, and is transmitted from the second port to the fourth port where it is re-united with the other polarisation component.

Again, perfect DGD performance is in principle possible,  
 20 as only one PSP of the fibre 1500 is utilised. Elimination of circulator 1610 provides this configuration with an advantage over that of Fig. 17. However, a disadvantage is that any polarisation polarised along the undesired PSP axis can re-enter fibre 1510.

25 The fibres described above and shown in Figs. 2 to 4 are examples of fibres that can be designed to exhibit a selected amount of dispersion, because they have a 'W-profile', that is a first cladding region, surrounding and adjacent to the core region, and a second cladding region, surrounding and adjacent  
 30 to the first cladding region, the first cladding region having an effective refractive index that is lower than the effective refractive index of the second cladding region.

Other fibre configurations that can be designed to exhibit a selected amount of dispersion are also suitable for  
 35 embodying the invention; for example, the fibre of Fig. 7 has a higher-index inner cladding region (inner-most tubular

region 740) and a lower-index second cladding region (surrounding ones of holes 730). Another example of a fibre configuration that can be designed to exhibit a selected amount of dispersion is a dual parallel core fibre. Any fibre  
5 exhibiting a high dispersion and that is also polarisation-maintaining is suitable for embodying the invention.

Claims

1. An optical fibre, comprising a core region and a cladding region, characterised in that the fibre exhibits a selected amount of dispersion and in that it is also birefringent.
- 5 2. An optical fibre as claimed in claim 1, which has, in its transverse cross-section, a rotational symmetry of two-fold.
3. A fibre as claimed in claim 1 or claim 2 that exhibits form birefringence.
4. A fibre as claimed in any preceding claim that exhibits  
10 stress birefringence.
5. An optical fibre as claimed in any preceding claim, in which the cladding region comprises a first cladding region, surrounding and adjacent to the core region and a second cladding region, surrounding and adjacent to the first  
15 cladding region, the first cladding region having a refractive index that is lower than the refractive index of the second cladding region.
6. A fibre as claimed in claim 5, in which the first and second cladding regions are concentric rings.
- 20 7. A fibre as claimed in claim 5 or claim 6, in which the fibre comprises a third cladding region having an effective refractive index that is lower than that of the second cladding region.
8. A fibre as claimed any preceding claim that exhibits  
25 birefringence resulting from structures in the inner cladding region.
9. A fibre as claimed in any preceding claim that exhibits birefringence resulting from structures in the second cladding region.
- 30 10. A fibre as claimed in any preceding claim, in which the core region contains elongate regions.
11. A fibre as claimed in claim 10, in which birefringence may result from a variation, having two-fold rotational symmetry, in that microstructure.

12. A fibre as claimed in any preceding claim, in which the cladding region comprises a plurality of elongate structures having a first refractive index embedded in a matrix material having a second, different refractive index.
- 5 13. A fibre as claimed in claim 12, in which the effective refractive index of the part of the cladding region surrounding and adjacent to the core region is lower than the effective refractive index of the core region.
- 10 14. A fibre as claimed in claim 12, in which the effective refractive index of the part of the cladding region surrounding and adjacent to the core region is higher than the effective refractive index of the core region.
- 15 15. A fibre as claimed in any of claims 12 to 14, in which the core region is an elongate hole.
- 20 16. A fibre as claimed in any of claims 12 to 14, in which birefringence is achieved by providing a variation in the cross-sectional area or the shape of the elongate elements, or in the material of, or the concentration of a dopant in, the elongate elements or the matrix material, or by any combination of those mechanisms.
17. A fibre as claimed in any of claims 12 to 16, in which the elongate structures are circular in transverse cross-section.
- 25 18. A fibre as claimed in any of claims 12 to 17, in which the elongate structures are arcuate in transverse cross-section.
19. A fibre as claimed in claim 18, in which at least one of the arcuate structures subtends an angle of  $30^\circ$  or more about the core region.
- 30 20. A fibre as claimed in any preceding claim that includes a structure at or near its mid-point that is arranged to interchange the polarisation axes of the fibre relative to the polarisation direction of light propagating in the fibre, or vice versa.
- 35 21. A fibre as claimed in any preceding claim, which includes a plurality of structures arranged to interchange the

polarisation axes of the fibre relative to the polarisation direction of light propagating in the fibre, or vice versa.

22. A fibre as claimed in claim 20 or claim 21, in which the structure(s) comprise(s) a twist of  $90^\circ$  or an integer multiple

5 of  $90^\circ$ .

23. A fibre as claimed in claim 20 or claim 21, in which the structure(s) (each) comprise(s) a plurality of small twists.

24. A fibre as claimed in any preceding claim that is a photonic crystal fibre.

10 25. A fibre as claimed in any preceding claim that exhibits normal dispersion at 1.55 microns.

26. A fibre as claimed in any of claims 1 to 24 that exhibits anomalous dispersion at 1.55 microns.

15 27. A fibre as claimed in any preceding claim, having a beat length of less than 1 cm.

28. A method of controlling chromatic dispersion and polarisation-dependent dispersion of a light pulse, comprising propagating the pulse through a fibre as described in any of claims 1 to 27.

20 29. A dispersion compensator comprising a fibre as described in any of claims 1 to 23.

30. An optical device comprising a fibre as claimed in any of claims 1 to 20, further comprising a wave plate and mirror arranged to rotate by  $90^\circ$  or an integer multiple of  $90^\circ$  the polarisation of light exiting the fibre and to return the light to the fibre.

25 31. An optical device comprising a beam-splitter, for splitting light into two orthogonal polarisation components, and a polarisation-maintaining optical fibre; the device being  
30 arranged such that the two polarisation components propagate along the same path in the fibre but in opposite directions and such that the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre.

32. A device as claimed in claim 31, in which the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre because the fibre is  
5 twisted by  $90^\circ$  or an integer multiple of  $90^\circ$ .

33. A device as claimed in claim 31, in which the polarisation components both propagate in the fibre polarised in the same orientation relative to the principal state of polarisation (PSP) axes of the fibre because a wave-plate is  
10 arranged to rotate the polarisation of the polarisation components.

34. A device as claimed in any of claims 31 to 33, further comprising a circulator for coupling light from a single-mode fibre into the beam-splitter.

15 35. A device as claimed in any of claims 31 to 34, further comprising a plurality of polarisation-rotators, arranged to rotate each polarisation component such that a component that is reflected by the splitter into the fibre is, after propagation through the fibre, output from the splitter by  
20 reflection and a component that is transmitted by the splitter into the fibre is, after propagation through the fibre, output from the splitter by transmission.

36. A method of compensating chromatic dispersion in an optical system, comprising propagating light from the optical  
25 system through a fibre according to any of claims 1 to 23 or an optical device according to any of claims 30 to 35.

37. An optical system comprising a first, chromatically dispersive, optical fibre and a second, optical fibre, that is as claimed in any of claims 1 to 23, the first fibre being  
30 longer than the second fibre and the second fibre exhibiting a chromatic dispersion that compensates for the chromatic dispersion of the first optical fibre.

38. A method substantially as herein described with reference to the accompanying drawings.

35 39. A device substantially as herein described, with reference to the accompanying drawings.



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Fig. 1

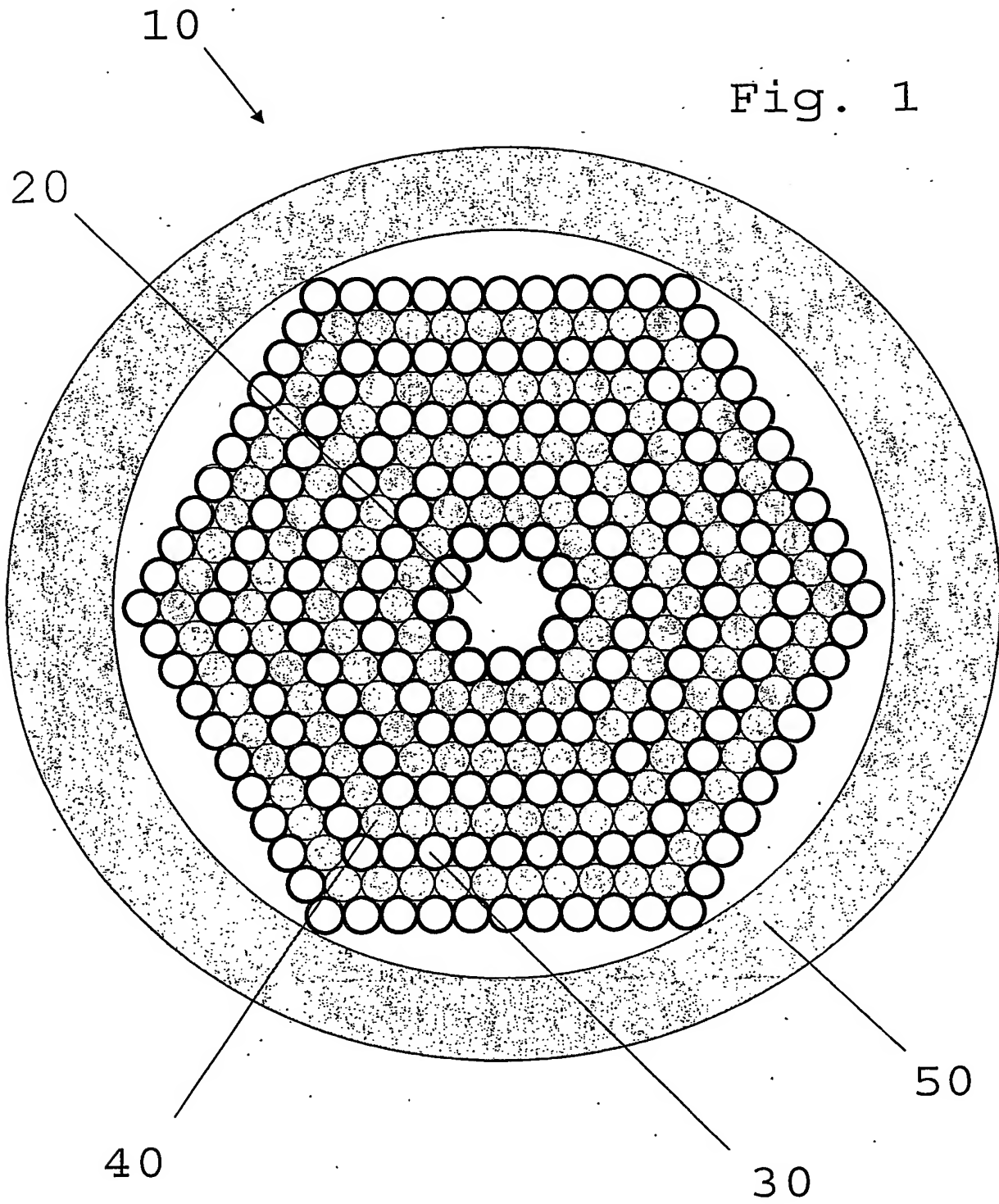


Fig. 2

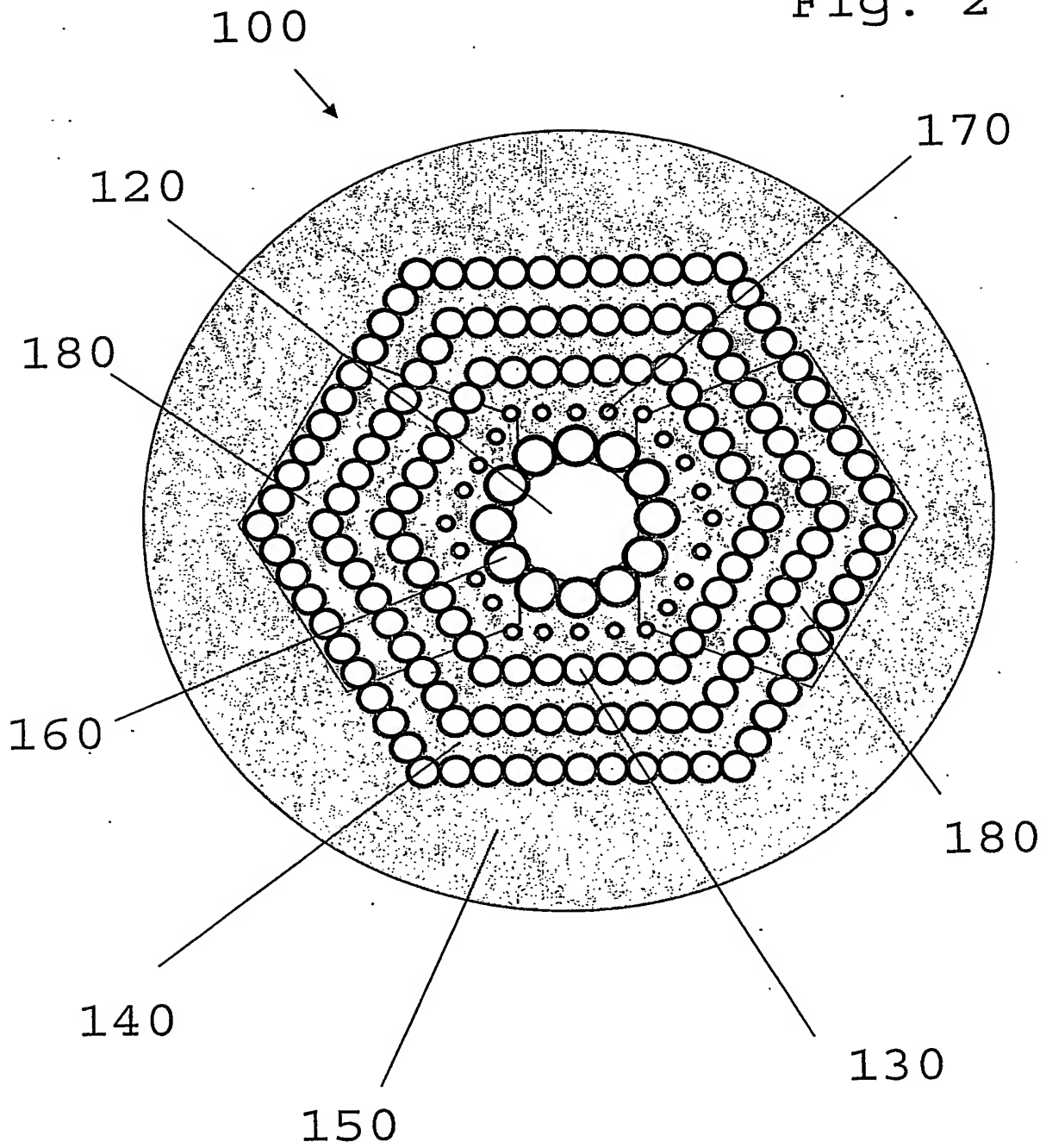


Fig. 3

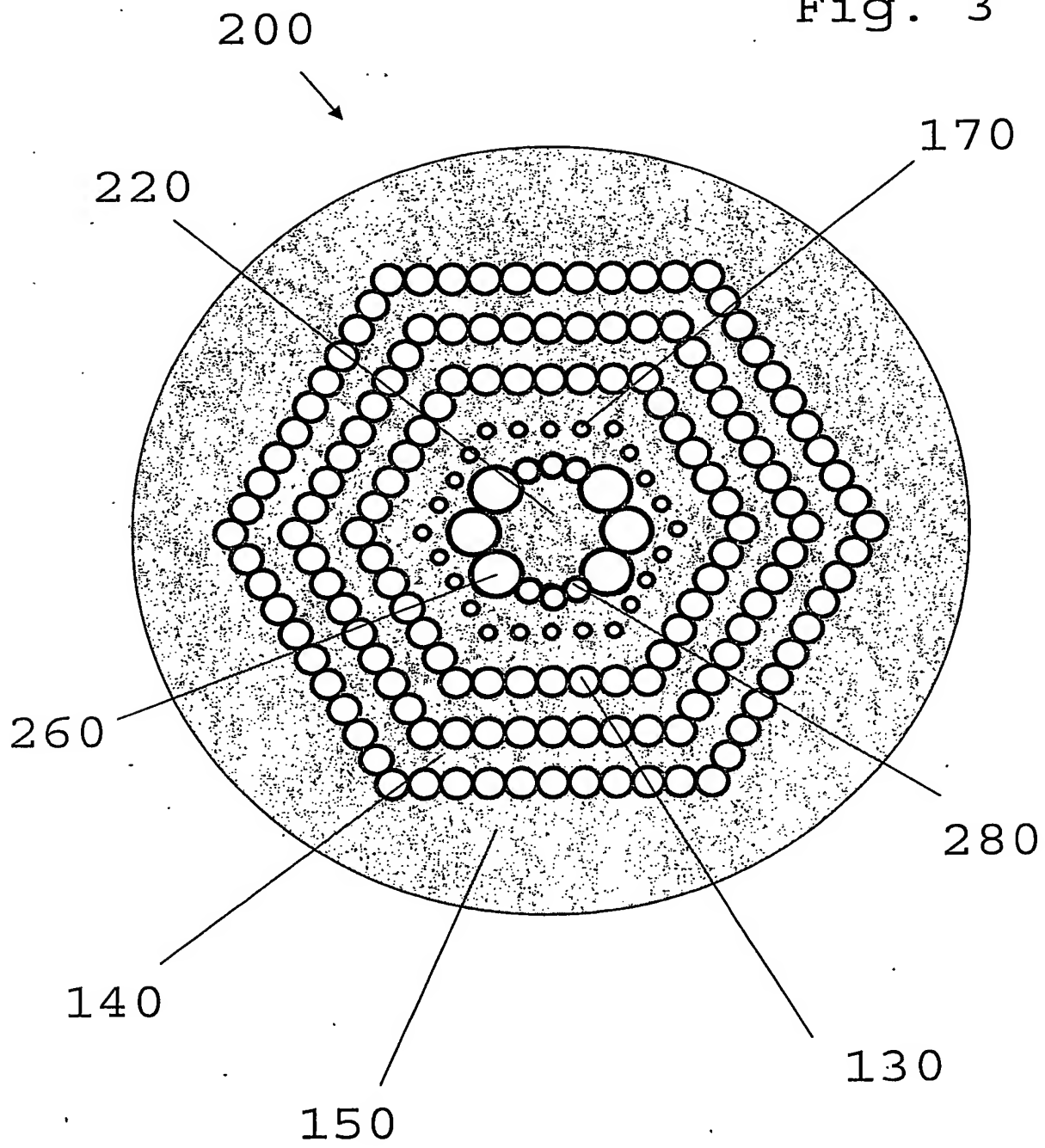


Fig. 4

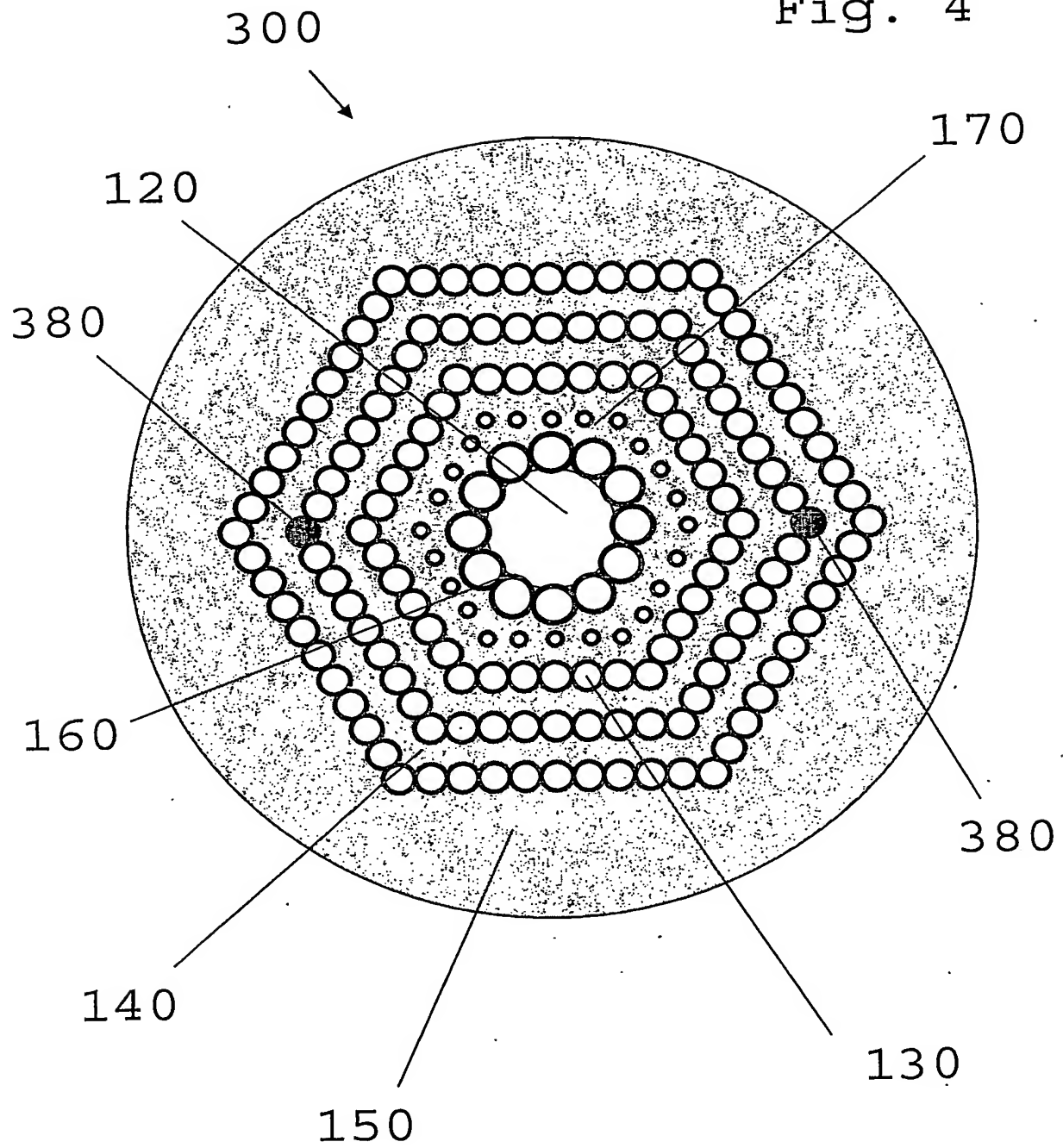
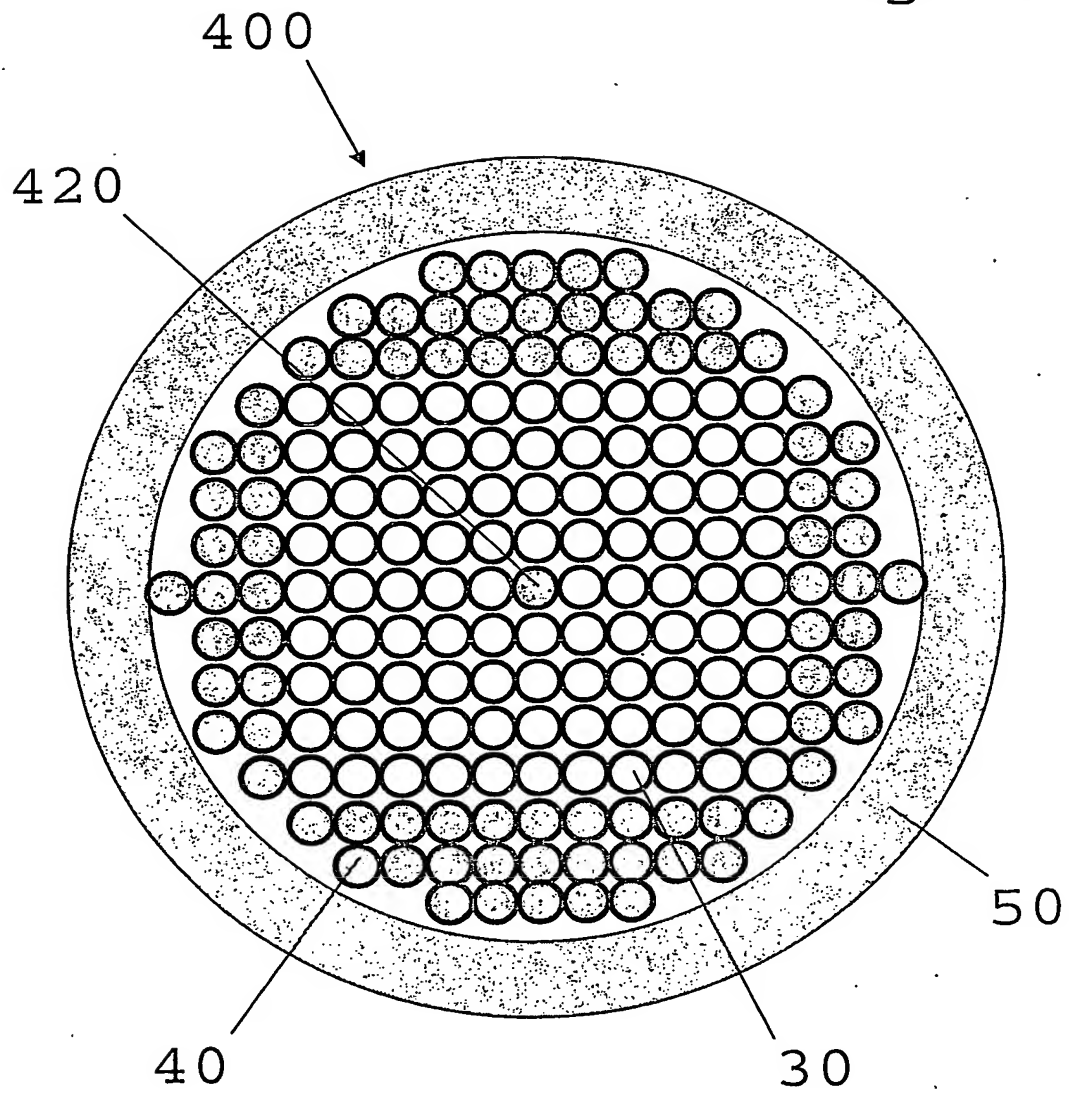
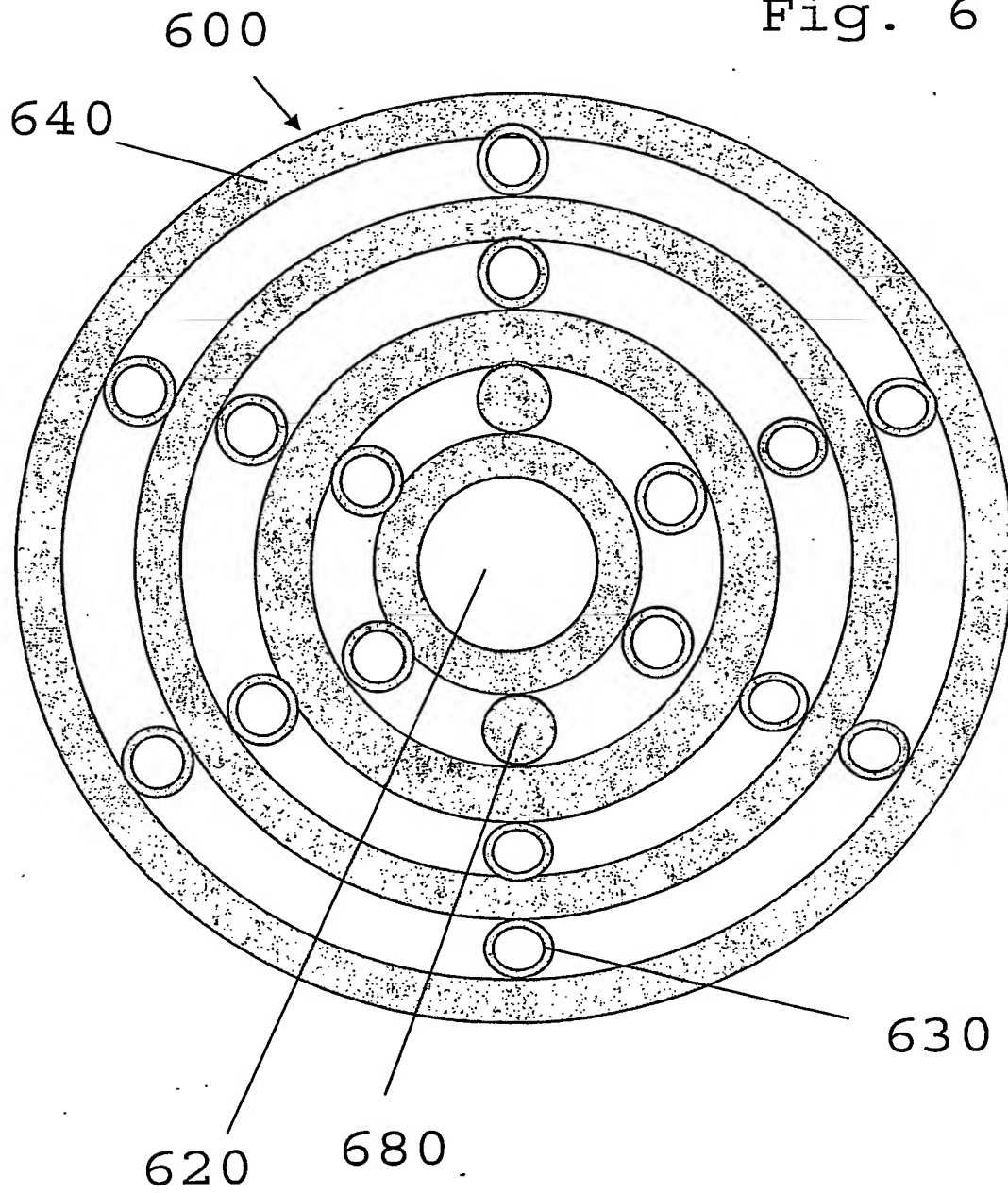


Fig. 5



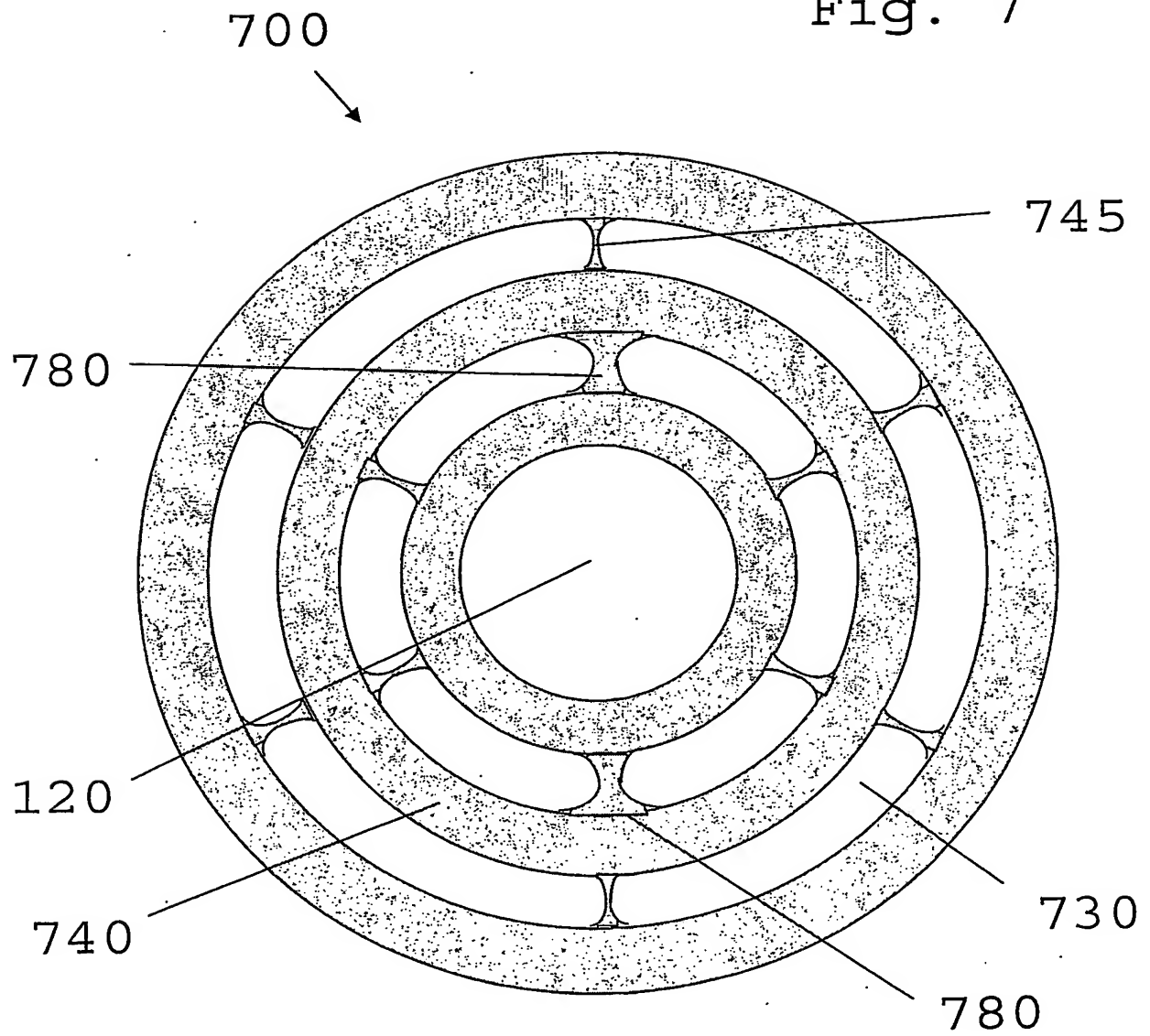
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Fig. 6



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Fig. 7



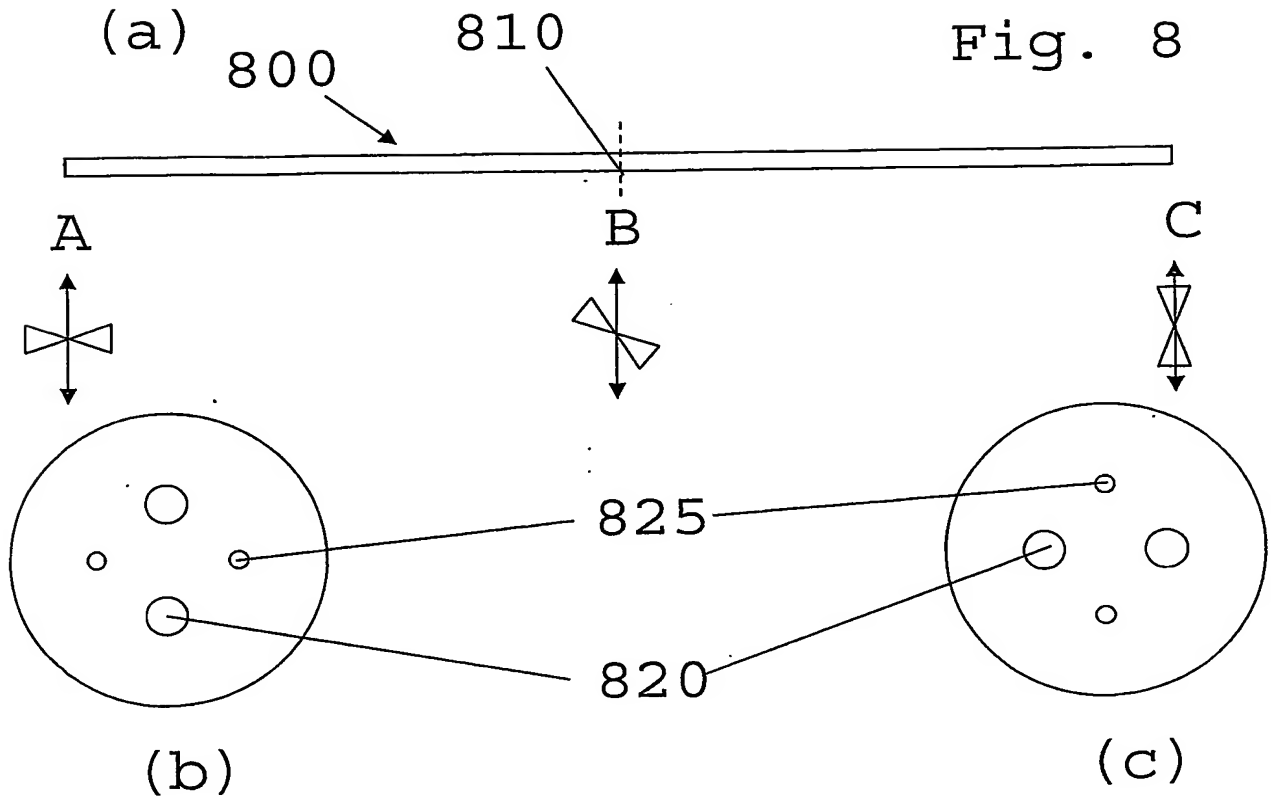
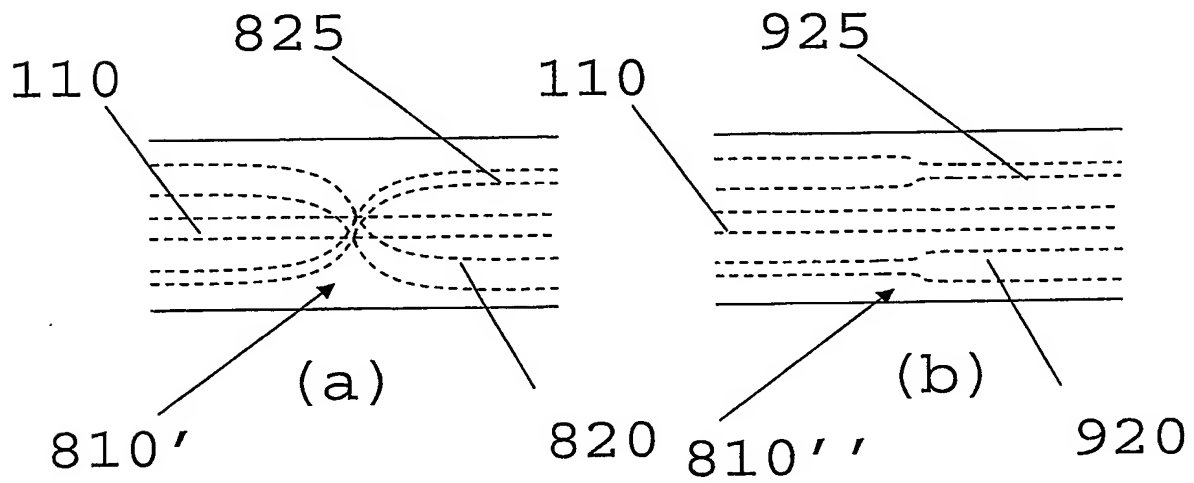


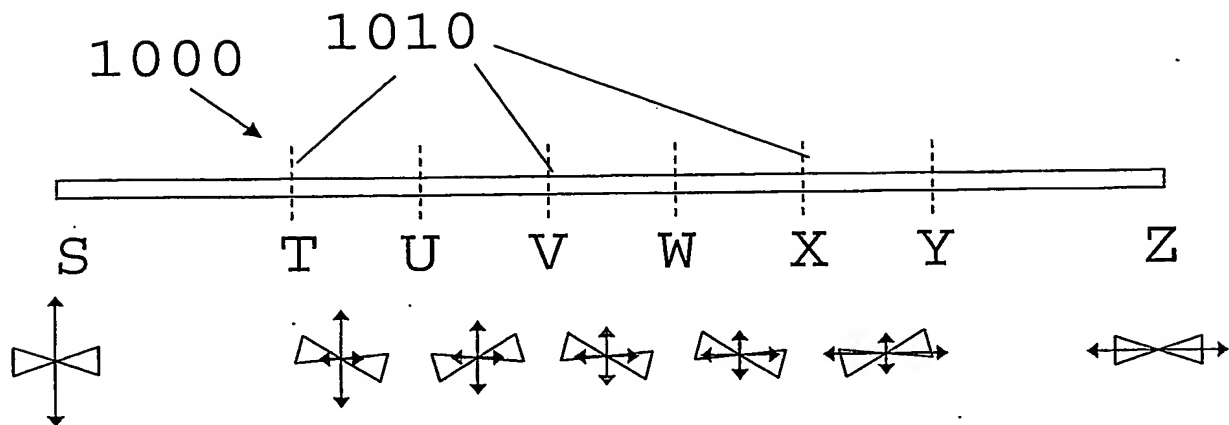
Fig. 9





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Fig. 10



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Fig. 11

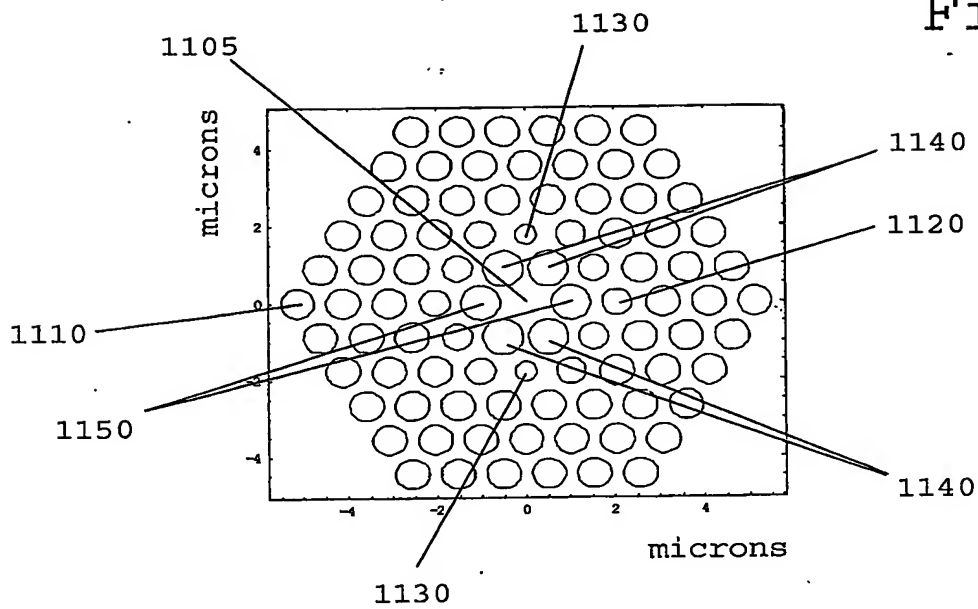
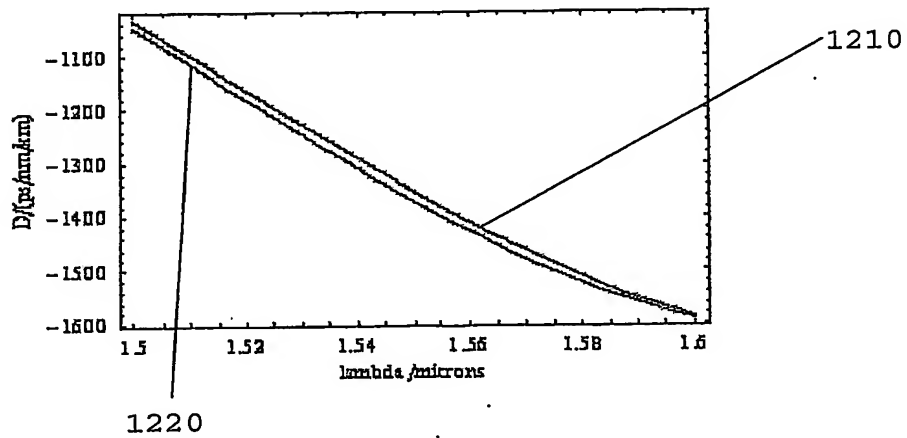


Fig. 12

Dispersion (D) vs. Wavelength ( $\lambda$ )



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Fig. 13

DGD vs. Wavelength ( $\lambda$ )

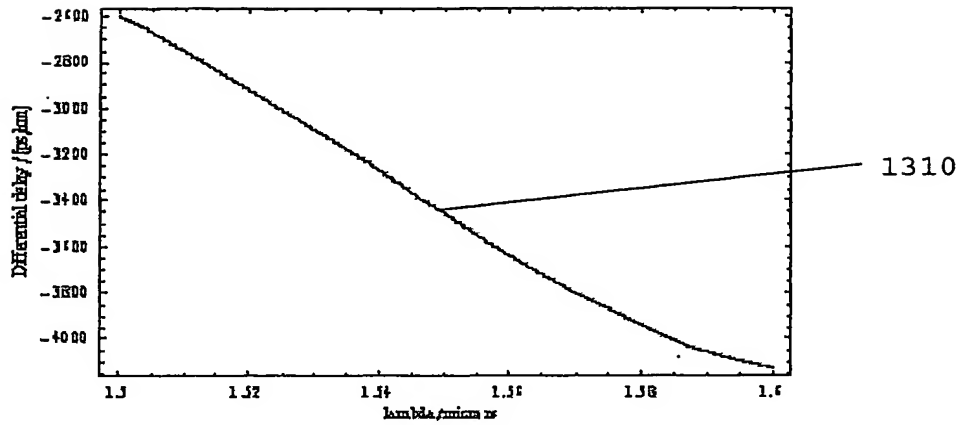


Fig. 14

Beat length ( $L_B$ ) vs. Wavelength ( $\lambda$ )

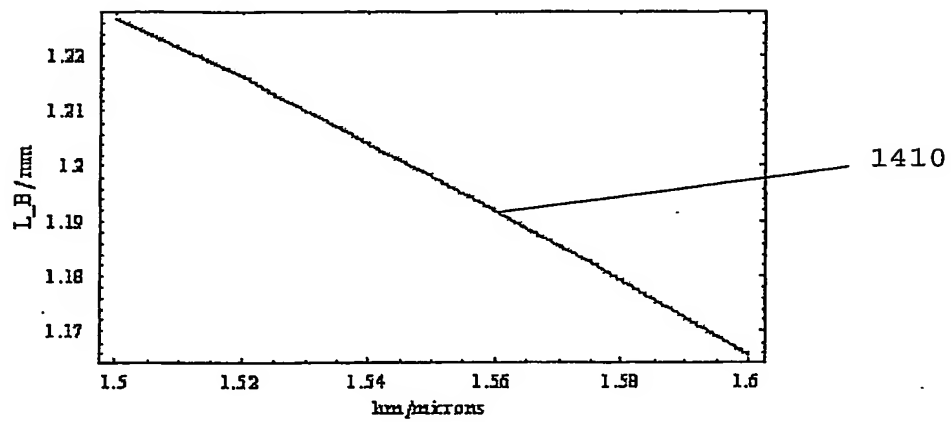


Fig. 15

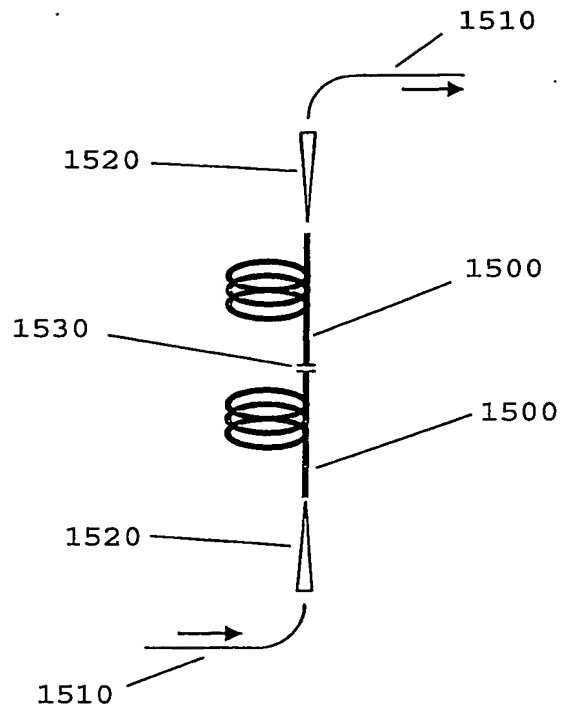


Fig. 16

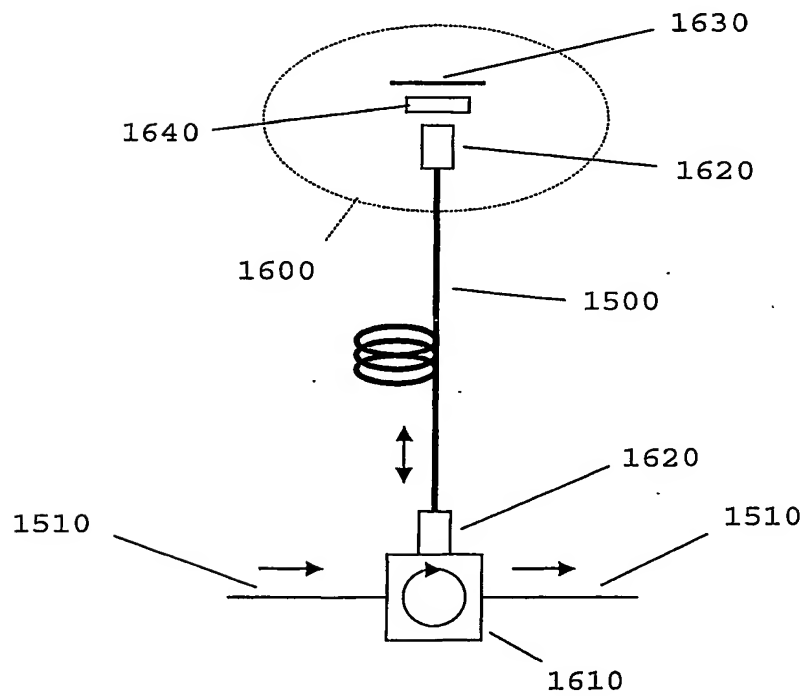


Fig. 17

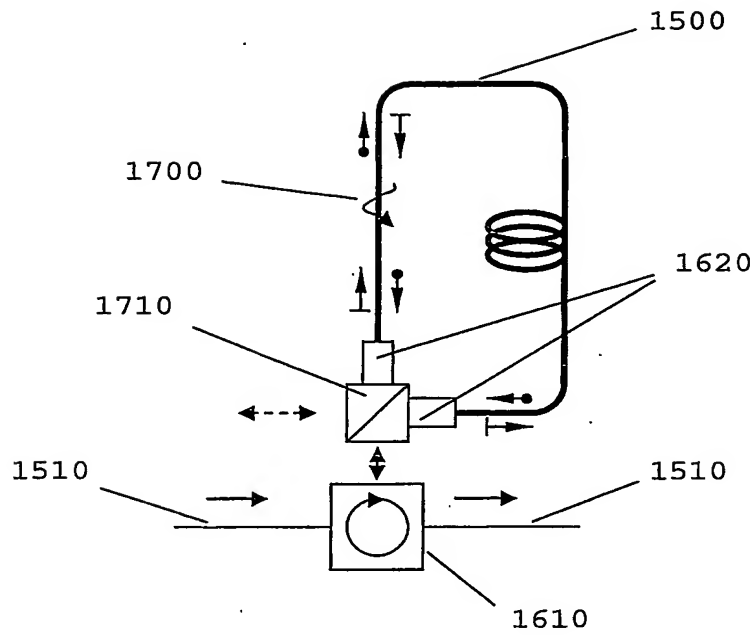
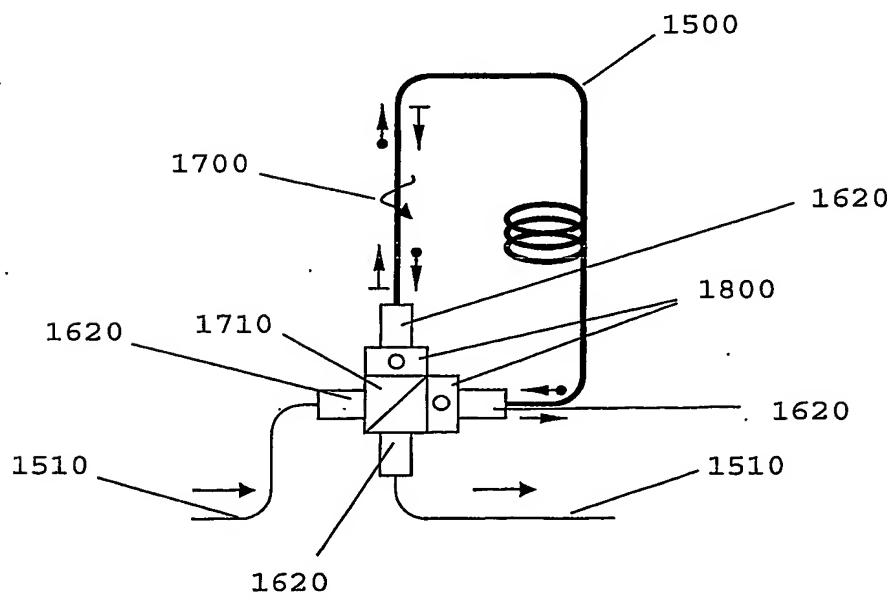


Fig. 18



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